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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/784,645

20350

02/15/2001

Stephen A. Empedocles

019916-00121US

**CONFIRMATION NO. 5563** 

**FORMALITIES LETTER** 

\*OC000000006002448\*

TOWNSEND AND TOWNSEND AND CREW TWO EMBARCADERO CENTER **EIGHTH FLOOR** SAN FRANCISCO, CA 94111-3834

Date Mailed: 04/24/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$494.
  - \$414 for 23 total claims over 20.
  - \$80 for 1 independent claims over 3.
- · The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1334.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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07-25-	01
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PTO/SB/21 (08-00) ase type a plus sign (+) inside this box --> + Approved for use through 10/31/2002. OMB/0651-0031 U.S. Patent and Trademark Onice. U.S. Patent and Trademark Onice. U.S. Delivation of information unless it displays a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE **Application Number** 09/784,645 & TRADEN TRANSMITTAL Filing Date February 15, 2001 **FORM** First Named Inventor Empedocles, Stephen A. (to be used for all correspondence after initial filing) Group Art Unit **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 019916001210 ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) After Final Proprietary Information and Accompanying Petition Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Terminal Disclaimer Return Postcard; Declaration; Power of Express Abandonment Request Attorney; Statement Under 37 CFR Request for Refund 3.73(b); Verified Statement Claiming Small Information Disclosure Statement Entity; Request for Corrected filing Receipt; copy of NOtice to File Missing CD, Number of CD(s) Parts; Form PTO-1449 and copies of 6 cited references The Commissioner is authorized to charge any additional fees to Certified Copy of Priority Deposit Account 20-1430. Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Townsend and Townsend and Crew LLP Scott L. Ausenhus Reg No. 42,271 Individual name Signature Date July 24, 2001 **CERTIFICATE OF MAILING** Express Mail Label: EL 903200147 US I hereby certify that this correspondence is being deposited with the United States Postal Service with "Express Mail Post Office to Address" service under 37 CFR 1.10 on this date July 24, 2001 and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

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July 24, 2001

DE 7046135 v1

Signature

Typed or printed name

Maisie C. Livengood

PTO/SB/17 (09-00)

Append for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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CIBEL TO A NOMITTAL	PEE TRANSMITTAL  Complete if Known	Complete if Known	
	Application Number	09/784,645	
JUL 2 4 2001 FY 2001	Filing Date	February 15, 2001	
1 2001	First Named Inventor	Empedocies, Stephen A.	
Patent fees are subject to annual revision.	Examiner Name		
<b>k</b>	Group Art Unit	1645	
TOTAL AMOUNT OF PAYMENT (\$) 722	Attorney Docket No.	019916-001210US	

			METHO	D OF PAYMENT					FEE C	ALCULATION (continued)	
The Commissioner is hereby authorized to charge				3. ADDITIONAL FEES							
1.		indic	ated fee	s and credit any o	over payments to:	Large	Entity	Small	Entity		
Der	osit					Fee	Fee	Fee	Fee	Fee Description	Fee
Acc	ount	20-	1430			Code 105	( <b>\$)</b> 130	Code 205	(\$) 65	•	Paid 65
Nur	nber	L				127	50	205	25	Surcharge - late filing fee or oath Surcharge - late provisional filing fee	65
Der	osit		1			'-'	00	221	2.5	or cover sheet.	
Acc	ount	Town	nsend ar	nd Townsend and	Crew LLP	139	130	139	130	Non-English specification	
Nar						147	2,520	147	2,520	For filing a request for reexamination	
	Charge Ar Under 37					112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
×	Applicant See 37 C			ty status.		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
2. 🗆	Payme	nt Encl	osed:			115	110	215	55	Extension for reply within first month	55
	Check	☐ Cr	edit card		☐ Other	116	390	216	195	Extension for reply within second month	
				Order		117	890	217	445	Extension for reply within third month	
1.	BASIC FI	LING FE		ALCULATION	······································	118	1,390	218	695	Extension for reply within fourth month	
Large	Entity		Entity			128	1,890	228	945	Extension for reply within fifth month	
Fee		Fee	Fee	Fee Description		119	310	219	155	Notice of Appeal	
Code	,	Code	(\$)		Fee Paid	120	310	220	155	Filing a brief in support of an appeal	
101		201	355	Utility filing fee	355	121	270	221	135	Request for oral hearing	
106 107		206 207	160 245	Design filing fee Plant filing fee		138	1,510	138	1,510	Petition to institute a public use proceeding	
108	710	208	355	Reissue filing fee	•	140	110	240	55	Petition to revive unavoidable	
114	150	214	75	Provisional filing	fee	141	1,240	241	620	Petition to revive – unintentional	
					(2) 0 = =	142	1,240	242	620	Utility issue fee (or reissue)	
		St	JBTOTA	.L (1)	(\$)355	143	440	243	220	Design issue fee	
2. EXT	RA CLAII	I FEES				144	600	244	300	Plant issue fee	
					e from Fee	122	130	122	130	Petitions to the Commissioner	
Total Clair	ms 43	-20*	* = [		ow Paid 9 = \$207	123	50	123	50	Petitions related to provisional applications	-
Independe Claims	nt 4	-3**	· = [	1 X \$	40 = \$40	126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent			-	×	=	581	40	581	40	Recording each patent assignment per property (times number of properties)	
Large Fee	Entity Fee	Small Fee	Entit Fee	•		146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code 103	(\$) 18	Code 203	(\$) 9	Fee Descript Claims in exc		149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
102	80	202	40		claims in excess of 3	1				-	<del></del>
104	270	204	135	•	ndent claim, if not paid	179	710	279	355 I	Request for Continued Examination (RCE)	
109	80	209	40		lependent claims over	169	900	169	900	Request for expedited examination of a design application	
110	18	210	9		ims in excess of 20 and	Other fee (specify)					
			s	UBTOTAL (2)	(\$)247	The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.					
						*Reduc	ed by Ba	isic Filing	Fee Pa	id SUBTOTAL (3) (\$)120	
**or num	nber previou	ısly paid,	if greater	; For Reissues, se	e above						

SUBMITTED BY Complete (if applicable)						
Name (Print/Type)	Scott L. Ausenhus	Registration No. (Attorney/Agent)	42,271	Telephone	303-571-4000	
Signature	Seott le	uenlut		Date	July 24, 2001	

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